

Docket No.: 488-027



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATIONS

In re Application of:

Akihiko Fukazama

Serial No.: 10/697,758

Filed: October 30, 2003

)  
)  
)  
Group Art Unit: --  
)  
Examiner: --  
)

For: **SOLID TYPE EC ELEMENT AND PROCESS FOR PRODUCING THE SAME**

New York, NY 10036  
January 28, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is being filed under the provisions of 37 CFR§1.97.

EPO Search Report

Lists references cited by Examiner.

US 5,640,274

Discloses electrochromic rearview mirror for and automobile improved in a water proof ability.

US 2002/0149829 A1

Discloses solid state electrochromic device, and mirror system and crt display using same.

JP 6-138476

Discloses electrochromic mirror.

JP 63-196342

Discloses electrochromic element having increased weather resistance.

It is hereby certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



James V. Costigan  
Registration No. 25,669

MAILING ADDRESS  
HEDMAN & COSTIGAN, P.C.  
1185 Avenue of the Americas  
New York, NY 10036-2646  
(212) 302-8989

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on : January 28, 2004

  
James V. Costigan, Registration No. 25,669



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/697,758
Filing Date	October 30, 2003
First Named Inventor	Akihiko Fukazama
Art Unit	
Examiner Name	--

Attorney Docket Number 488-027

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	us- 5,640,274	06/17/1997	Iwama, et.al		
AB	us- 2002/0149829	10/17/2002	Mocizuka, et al		
AC	us-				
AD	us-				
AE	us-				
AF	us-				
AG	us-				
AH	us-				
AI	us-				
AJ	us-				
AK	us-				
AL	us-				
AM	us-				
AN	us-				
AO	us-				
AP	us-				
AQ	us-				
AR	us-				
AS	us-				
AT	us-				

**FOREIGN PATENT DOCUMENTS**

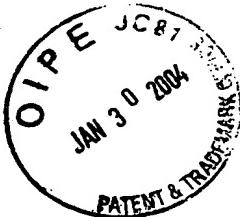
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
AU		JP 6-38476	06/21/1994	Tokai Rika Co. Ltd.		
AV		JP 63-196342	08/6/1988	Nikon Corporation		
AW						
AX						
AY						
AZ						
AA						
BB						
CC						
DD						

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
1100 L Street, N.W., Washington, D.C. 20591-0001 (202) 707-7600

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/697,758
		Filing Date	October 30, 2003
		First Named Inventor	Akihiko Fukazama
		Group Art Unit	
		Examiner Name	--
Sheet	2	of	2
		Attorney Docket Number 488-027	

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

**Examiner  
Signature** \_\_\_\_\_ **Date  
Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.